CONTIGENCY PLAN

VERSION HISTORY

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| **Version #** | **Implemented**  **By** | **Revision**  **Date** | **Approved**  **By** | **Approval**  **Date** | **Reason** |
| 1.0 | <Author name> | <mm/dd/yy> | <name> | <mm/dd/yy> | <reason> |
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# Introduction

## Purpose

This <Project Name> Contingency Plan establishes procedures to recover the <Project Name> following a disruption. The following objectives have been established for this plan:

* Maximize the effectiveness of contingency operations through an established plan that consists of the following phases:
  + Notification/Activation to detect and assess damage and to activate the plan
  + Recovery to restore temporary operations and recover from damage
  + Reconstitution of systems and normal operations
* Identify the critical activities, resources, and procedures needed to carry out operations during prolonged interruptions to normal operations
* Assign responsibilities to designated personnel
* Provide guidance for recovering operations during prolonged periods of interruption to normal operations
* Ensure coordination contingency planning stakeholders and staff
* [Enter additional objectives as needed]

The intended audience of the <Project Name> Contingency Plan is the project manager, project team, project sponsor, procurement officer/office, and any senior leaders whose support is needed to carry out acquisition plans.

## Applicability

The <Project Name> Contingency Plan applies to the functions, operations, and resources necessary to restore and resume normal <Project Name> operations at <enter location>. The <Project Name> Contingency Plan applies to <organization’s name> and all other persons associated with <Project Name> as identified in this document. The <Project Name> Contingency Plan is supported by [enter reference to additional supporting contingency/disaster recovery plans]

## Scope

### Planning Principles

Various scenarios were considered to form a basis for the plan, and multiple assumptions were made. The applicability of the plan is predicated on:

[Enter various assumptions/variables taken into account when developing this plan, or that the successful execution of this plan is dependent upon]

### Assumptions

This section identifies the statements believed to be true and from which a conclusion was drawn to define this plan.

1. [Insert description of the first assumption.]
2. [Insert description of the second assumption.]

### Constraints

This section identifies any limitation that must be taken into consideration regarding the content of this plan.

1. [Insert description of the first constraint.]
2. [Insert description of the second constraint.]

## Reference Requirements

This plan complies with the <organization name>’s IT contingency planning policy as follows:

[Enter statement that references how the content of this plan complies with related organizational policies and standards]

This plan also complies with the following federal and departmental policies:

[List all additional regulations and/or policies such as:

* Computer Security Act of 1987
* OMB Circular A-130, Management of Federal Information Resources, Appendix III, November 2000.
* Federal Preparedness Circular (FPC) 65, Federal Executive Branch Continuity of Operations, July 1999
* Presidential Decision Directive (PDD) 67, Enduring Constitutional Government and Continuity of Government Operations, October 1998
* PDD 63, Critical Infrastructure Protection, May 1998
* Federal Emergency Management Agency (FEMA), The Federal Response Plan (FRP), April 1999
* Defense Authorization Act (Public Law 106-398), Title X, Subtitle G, “Government Information Security Reform,” October 30, 2000]

# Concept of Operations

## System Description and Architecture

[Provide a general description of system architecture and functionality. Indicate the operating environment, physical location, general location of users, and partnerships with external organizations/systems. Include information regarding any other technical considerations that are important for recovery purposes, such as backup procedures. Provide a diagram of the architecture, including security controls and telecommunications connections.]

## Line of Succession

[Enter the name and full contact information, within the order of succession, of the individuals responsible for executing decisions regarding the content outlined within this plan, in the event of a disruption/disaster. For example, the Chief Information Officer (CIO) might be the primary individual responsible for decision making but if inaccessible, the Deputy CIO might be identified as the individual responsible for making decisions. Continue description of succession as applicable.]

## Responsibilities

[List the individuals, teams, departments, etc trained and responsible for responding, in accordance to the procedures outlined within this plan, in the event of a disruption/disaster. Describe each individual’s and team’s responsibilities, leadership, and coordination with others during recovery operations.]

# Notification and Activation

[Address the initial actions taken to detect and assess damage inflicted by a disruption. Describe items such as:

* Notification options and response procedures to each option.
* Assessment procedures and associated responsibilities
* Alternate assessment procedures
* Contingency/disaster recovery plans
* Criteria that must be met for plans to be activated]

# Recovery Operations

[List the procedures for all recovery options available for the restoration of normal operations and the procedures for executing each option.]

**Recovery Goal 1**: [Enter recovery objective. For each person/team responsible for executing a function to meet this objective enter:

**Name**: [Enter name]

**Contact Information**: [Enter contact information]

**Responsibility**: [Enter responsibility]

**Other**: [Enter other relevant information]

**Recovery Goal 2**: [Enter recovery objective. For each person/team responsible for executing a function to meet this objective enter:

**Name**: [Enter name]

**Contact Information**: [Enter contact information]

**Responsibility**: [Enter responsibility]

**Other**: [Enter other relevant information]

**Recovery Goal 3**: [Enter recovery objective. For each person/team responsible for executing a function to meet this objective enter:

**Name**: [Enter name]

**Contact Information**: [Enter contact information]

**Responsibility**: [Enter responsibility]

**Other**: [Enter other relevant information]

# Return to Normal Operations

## Concurrent Processing

[Procedures should be outlined, per necessary team, to operate the system in coordination with the system at the original or new site. These procedures should include testing the original or new system until it is functioning properly and the contingency system is shut down gracefully.]

**Resumption Procedure 1**: [Enter resumption objective. For each person/team responsible for executing a function to meet this objective enter:

**Name**: [Enter name]

**Contact Information**: [Enter contact information]

**Responsibility**: [Enter responsibility]

**Other**: [Enter other relevant information]

**Resumption Procedure 2**: [Enter resumption objective. For each person/team responsible for executing a function to meet this objective enter:

**Name**: [Enter name]

**Contact Information**: [Enter contact information]

**Responsibility**: [Enter responsibility]

**Other**: [Enter other relevant information]

**Resumption Procedure 3**: [Enter resumption objective. For each person/team responsible for executing a function to meet this objective enter:

**Name**: [Enter name]

**Contact Information**: [Enter contact information]

**Responsibility**: [Enter responsibility]

**Other**: [Enter other relevant information]

## Plan Deactivation

[Procedures should be outlined, per necessary team, to clean the alternate site of any equipment or other materials belonging to the organization, with a focus on handling sensitive information. Materials, equipment, and backup media should be properly packaged, labeled, and shipped to the appropriate location(s). Team members should be instructed to return to the original or new site.]

**Deactivation Procedure 1**: [Enter deactivation objective. For each person/team responsible for executing a function to meet this objective enter:

**Name**: [Enter name]

**Contact Information**: [Enter contact information]

**Responsibility**: [Enter responsibility]

**Other**: [Enter other relevant information]

**Deactivation Procedure 2**: [Enter deactivation objective. For each person/team responsible for executing a function to meet this objective enter:

**Name**: [Enter name]

**Contact Information**: [Enter contact information]

**Responsibility**: [Enter responsibility]

**Other**: [Enter other relevant information]

**Deactivation Procedure 3**: [Enter deactivation objective. For each person/team responsible for executing a function to meet this objective enter:

**Name**: [Enter name]

**Contact Information**: [Enter contact information]

**Responsibility**: [Enter responsibility]

**Other**: [Enter other relevant information]

Appendix A: Contingency Plan Approval

The undersigned acknowledge they have reviewed the <Project Name> **Contingency Plan** and agree with the approach it presents. Changes to this **Contingency Plan** will be coordinated with and approved by the undersigned or their designated representatives. [List the individuals whose signatures are desired. Examples of such individuals are Business Steward, Implementation Manager or Project Sponsor. Add additional lines for signature as necessary. Although signatures are desired, they are not always required to move forward with the practices outlined within this document.]

|  |  |  |  |
| --- | --- | --- | --- |
| **Signature:** |  | **Date:** |  |
| **Print Name:** |  |  |  |
| **Title:** |  |  |  |
| **Role:** |  |  |  |

|  |  |  |  |
| --- | --- | --- | --- |
| **Signature:** |  | **Date:** |  |
| **Print Name:** |  |  |  |
| **Title:** |  |  |  |
| **Role:** |  |  |  |

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| **Signature:** |  | **Date:** |  |
| **Print Name:** |  |  |  |
| **Title:** |  |  |  |
| **Role:** |  |  |  |

APPENDIX B: REFERENCES

[Insert the name, version number, description, and physical location of any documents referenced in this document. Add rows to the table as necessary.]

The following table summarizes the documents referenced in this document.

|  |  |  |
| --- | --- | --- |
| **Document Name and Version** | **Description** | **Location** |
| <Document Name and Version Number> | [Provide description of the document] | <URL or Network path where document is located> |

APPENDIX C: KEY TERMS

[Insert terms and definitions used in this document. Add rows to the table as necessary. Follow the link below to for definitions of project management terms and acronyms used in this and other documents.

The following table provides definitions for terms relevant to this document.

|  |  |
| --- | --- |
| **Term** | **Definition** |
| [Insert Term] | [Provide definition of the term used in this document.] |
| [Insert Term] | [Provide definition of the term used in this document.] |
| [Insert Term] | [Provide definition of the term used in this document.] |

APPENDIX D: PERSONNEL CONTACT LIST

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